SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person* SCHRIESHEIM ALAN							2. Issuer Name and Ticker or Trading Symbol <u>HEICO CORP</u> [HEI, HEI.A]								able)	rting Person(s) to Issuer			
(Last)	(First			3. Date of Earliest Transaction (Month/Day/Year) 09/20/2021								r (give title	Ot	% Owner ner (specify ow)				
3000 TAFT STREET																			
(Street)				4. If Ar	4. If Amendment, Date of Original Filed (Month/Day/Year)								 Individual or Joint/Group Filing (Check Applicable ine) 						
HOLLYWOOD FL 33021											X	- , , , , , , , , , , , , , , , , , , ,							
												Form fi Person		e than One F	eporting				
(City)	(State	e)																
			Tal	ole I - No	n-Deriv	ative S	Securities Ac	quired	, Dis	posed o	of, o	r Ben	eficially	Owned					
1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			(A) or 3, 4 and 5)	or and 5) Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t Indirect Beneficial Ownership		
								Code	v	Amount		(A) or (D)	Price	Transact (Instr. 3 a	ion(s)		(Instr. 4)		
Class A Common Stock														49,	295	D			
Common Stock 09						/2021		М		30,00	C	A	\$0.323	30,	908	D			
Common Stock 09						/2021		F		76		D	\$127.56	30,	832	D			
Common Stock														11,	333	Ι	By 409A Plan ⁽¹⁾		
Class A Common Stock														6,4	416	Ι	By 409A Plan ⁽¹⁾		
Class A Common Stock														10,	488	Ι	By Estate ⁽²⁾		
				Table II -	Deriva (e.g., p	tive Se outs, ca	curities Acq IIs, warrants	uired, , optio	Disp ons, c	osed of converti	, or l ble s	Benef secur	icially (ities)	Owned					
1. Title of Derivative 2. 3. Transaction 3A. Deemed Security Conversion Date Execution Date Security or Exercise (Month/Day/Year) if any					n of	6. Date E Expiratio (Month/D	n Date	of Securities				8. Price of Derivative Security	9. Numbe derivative Securities	Owne	ship 11. Nature of Indirec Beneficia				

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			urities uired or oosed O) (Instr.	Expiration Da (Month/Day/)		of Securit Underlyin Derivative (Instr. 3 ar	g Security	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (Right to purchase Common Stock)	\$0.323	09/20/2021		М			30,000	(3)	(3)	Common Stock	30,000	\$0	83,044	D	
Option (Right to purchase Common Stock)	\$0. 5713							(3)	(3)	Common Stock	193,049		193,049	D	

Explanation of Responses:

1. Represents shares held for the Reporting Person by the HEICO Corporation Leadership Compensation Plan (409A Plan).

2. Represents shares held by the estate of deceased spouse.

3. These options became exercisable on various dates and expire 180 days following the date the Reporting Person ceases to serve as a Director of the Registrant.

Remarks:

/s/ Alan Schriesheim

** Signature of Reporting Person

09/22/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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